

## Short Course on Regulation: LSE Executive Training Programme March 2012

### COURSE APPLICATION FORM

NAME: .....

COMPANY/ORGANISATION: .....

JOB TITLE: .....

ADDRESS: .....

POSTCODE: .....

TEL: .....

FAX: .....

EMAIL: .....

### PAYMENT DETAILS

**By cheque** – Please make cheques payable to  
'LSE Enterprise Ltd'. I enclose a cheque for:

£ .....

**By credit/debit card** – We can accept payment by Visa,  
Mastercard and Switch. Please note we are unable to accept  
AMEX. Please enter a daytime telephone number for us to  
contact you to obtain details for processing:

TEL: .....

**By invoice** – If you wish to be invoiced please fill in the  
address to which the invoice should be sent:

INVOICE TO: .....

PURCHASE ORDER NUMBER: .....

INVOICE ADDRESS: .....

Invoices must be paid in full before the course commences.  
**Please return this form with payment or invoice details to:**

Ms Wiebke Wenzel  
LSE Enterprise Limited  
Houghton Street London, WC2A 2AE  
Tel: +44 (0)20 7852 3725 Fax: +44 (020) 7955 7980  
Email: w.wenzel@lse.ac.uk

### Fees

The tuition fees for the Short Course on Regulation, not including accommodation, will be £1,950 plus VAT. This fee will include lunches and a drinks reception.

### Accommodation

Participants are expected to make their own arrangements for accommodation. Rooms can, however, be reserved at one of our halls of residence on a first come first served basis.

For further information on accommodation

**Tel:** +44 (0)20 7955 7575

**Email:** vacations@lse.ac.uk

**Website:** lse.ac.uk/vacations

### Further Information and Closing Date

For further information about this course,  
please contact the course administrator:

Ms Amanda Tinnams

**Tel:** +44 (0)20 7955 7684

**Fax:** +44 (0)20 7955 7366

**Email:** A.Tinnams@lse.ac.uk

The closing date for applications is **1 March 2012**.

### Cancellation Policy

You may make a substitution, without charge, at any time before the start of the course but all cancellations must be confirmed in writing. Cancellations received more than four weeks prior to the start of the course are not subject to any penalty. Cancellation received after that time incur the following penalties: two to four weeks 50 per cent of the course fee; less than two weeks 100 per cent of the course fee. If written notification is not received and you do not attend, the full course fee will be retained as a cancellation charge.



## Short Course on Regulation: LSE Executive Training Programme



**26 – 30 March 2012**

“A great course”

“Brilliant presenter, as good as they come”

“All presenters were excellent”

“Good for networking”

“All speakers were very good indeed,  
clearly knowledgeable and talking from  
the research base”

“This course should be mandatory for existing  
and would be regulators”

## Participants and Aims

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The course is intended for UK, EU and Overseas participants who have varying familiarity with regulation. It will both introduce participants to key issues and develop understandings of these. The week will be of particular value to professionals who are moving into regulatory affairs from other areas (no prior knowledge of regulation or economics will be assumed).

The course will look at how regulation operates on the ground but will also cover regulatory theory and all stages of the regulatory process – from law and rule-making to regulatory impact assessment, risk management, institutional frameworks and enforcement. It will consider regulation from the perspectives of economists, lawyers, sociologists, political scientists and others. Individual seminars and workshops will examine not merely how regulation ought to be carried out and evaluated but how regulation tends to develop and how its incidence and shape can be explained. The utilities, financial and other sectors will be covered and European issues will also be considered.

## Who Should Attend

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- Regulation managers
- Regulators
- Regulatory affairs staff
- Legal staff
- Consultants
- Accountants and finance managers
- Economists

## Timing

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The course will last one week with days lasting from 9.30am to 4.30pm. A half-hour long break will be taken mid-afternoon to allow participants to keep in contact with any other business.

## Academic Staff

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**Academic Director:** Professor Robert Baldwin

**Administrator:** Ms Amanda Tinnams

**Professor Robert Baldwin** – Professor of Law at LSE.

**Dr Tony Ballance** – Director of Regulation and Competition, Severn Trent Water.

**Professor Martin Cave** – Visiting Professor at Imperial College Management School.

**Dr Veerle Heyvaert** – Senior Lecturer in Law at LSE.

**Dr Martin Lodge** – Reader in Political Science and Public Policy.

**Mr Ian Marlee** – Director of Trading Arrangements at Ofgem.

**Dr Henry Rothstein** – Senior Lecturer in Risk Management at the King's Centre for Risk Management at King's College London and Research Associate at the ESRC Centre for Analysis of Risk and Regulation at LSE.

## Location

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The London School of Economics and Political Science is one of the major world centres for research and teaching in the social sciences. It has an outstanding reputation, not only in Economics, but also in all the social sciences and closely related subjects such as Government, History, Law and Philosophy. There is a constant interchange of ideas and knowledge between the School and the outside world, notably in relation to regulation.

The London School of Economics and Political Science is the only university in Britain to offer a multi-disciplinary Masters Degree in Regulation.

## Materials and Readings

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Participants will be referred to a number of readings and will be supplied with a copy of the course text: *Understanding Regulation* 2nd edition (Oxford, 2011 by R Baldwin, M Cave and M Lodge).

## DAY 1

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- Introduction
- Why Regulate?
- What is Good Regulation?
- Regulatory Strategies
- Techniques of Regulation Commands and Alternatives
- Water Sector Key: An Overview
- Water Sector Regulation in England and Wales

## DAY 2

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- Risk Regulation Regimes: What Are They and Why Do They Vary?
- Risk-Based Regulation
- What is Good Regulation?
- Explaining Regulation
- The European Dimension I
- The European Dimension II
- Emissions Trading and Market Mechanisms

## DAY 3

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- Cost-Benefit Testing and Regulation
- Working Group Session 1: Regulatory Impact Assessment
- Techniques of Regulation: Rules and Standards
- Franchising
- Regulation Across States: Learning from International Experience

## DAY 4

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- Enforcement Strategies
- The Better Regulation Movement
- Regulation and the Judges
- Regulatory Competition
- Managing Relations with Regulators – A Network Rail Perspective

## DAY 5

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- Utilities Regulation – An Introduction
- Price Capping, Structural Regulation and Regulating Monopolies
- How Price Caps are Set and Reset
- Measuring Efficiency
- Regulation and Competition Law