

Open Science short sessions:

Transformative Agreements and Article Processing Charges (APCs)

Emeroteca (Badia and Zoom)

26 October 2022, 11.00-11.30

Lotta Svantesson, Open Science Office Coordinator

European University Institute (EUI) Library

EUI Library Research Skills Session

Welcome

This short session is mainly thought for EUI members
but also open to CIVICA and beyond

Supporting Open Access publishing

The EUI Library supports the members of the EUI academic community in their efforts to publish Open Access through:

1. Repository Road: Cadmus, the EUI Research repository
2. **'Transformative' agreements with publishers: Read & Publish**
3. **An EUI fund for Article Processing Charges (APC)**

Transformative agreements

what does it mean?

- Negotiated between **institutions** (libraries, consortia) and **publishers**
- Transforming the **business model** underlying scholarly journal publishing
- Former **subscription expenditures** repurposed to support **open access**

publishing:

shifting from a model based on **toll access** (subscription) to one in which publishers are remunerated a **“fair” price for open access publishing** services

The Library (CRUI)

The Publisher

A Fairly Recent Development

First Transformative
Agreements (in 2020)



EUI Central Fund for
APCs (in 2022)

EUI Library Transformative Agreements (TAs)

The EUI Library
has TAs with 3
big journal
publishers

Free OA option
in 3700+ journal
titles

For each OA
article you save
> 2500 €

Books in OA are
NOT covered

The Transformative Agreements are concluded through the Italian consortium [CRUI](#) (*Conferenza dei Rettori delle università italiane*). The agreements allow EUI corresponding authors to publish their articles in Open Access without paying any Article Processing Charge (APC), in addition to the 'read'/subscription part.

What do the agreements offer?

Free Open Access fee (APCs waived)

- Cambridge University Press – 370 journal titles
- Springer - 1850 journal titles
- Wiley – 1400 journal titles

Special Agreements (memberships)

- Cogitatio – 4 journal titles
- ECPR OA journal ‘Political Research Exchange (PRX)’: 86% discount on APC (only £49 per article)
- IMISCOE (Springer) OA Books: Book Processing Charge (BPC) discounted with 50 % for publishing a book Open Access: from 3000 to 1500 €
- De Gruyter Subscribe to Open ([S2O](#)): flips eleven journals into open access.

Updated information on EUI web

[Home](#) » [Research](#) » [Library](#) » [Publishing and Open Science](#) » Publish Open Access with a Publisher

Publish Open Access with a Publisher

You can publish your article directly in an Open Access journal or in a 'hybrid' journal with an OA option (or publish an Open Access book) with the gold Open Access road. This option usually involves quite a high cost for the author.

The EUI Library supports the members of the EUI academic community in their efforts to publish Open Access through 'transformative' agreements with publishers, and, since 2022, through a small fund for Article Processing Charges (APC). The Library also has a special fund for fair [Open Science initiatives](#) aiming at supporting no-profit forms of OA.

- › Obtain a waiver of the Article Processing Charge (APC) benefitting from the ['EUI Library Transformative Agreements'](#)
- › Using the [Special Institutional Agreements and Memberships](#)
- › Pay the Article Processing Charge (APC) to the journal publisher / and apply for [funding via the 'EUI Fund for APCs'](#)
- › Read also about the [Library Fund for Fair OS Initiatives](#)

Tips and information

Do you have questions? Write to cadmus@eui.eu or join the Open Science Office [zoom chat Thursdays 11.00-11.30](#) (please register).

Transformative Agreements

The transformative agreements, valid between 3 and 5 years, can waive the Open Access publishing fees usually charged to the author in approximately 3,700 journal titles published by Springer, Cambridge University Press and Wiley. Books in Open Access and the Book Processing Charge (BPC) fees are not covered by the agreements. The agreements described below allow EUI corresponding authors to publish their articles in Open Access without paying any Article Processing Charge (APC).

Tips and information

- › You know your journal of interest but not if it is published by CUP, Springer or Wiley? [Search the journal title in Sherpa](#) and know immediately the publisher, or browse the journal lists by publisher!
- › The transformative agreements are concluded through the Italian consortium CRUI. A [list of negotiations and contracts](#) is available (in Italian).

TA APC waiver: what is the workflow?

1. EUI Corresponding Author submits the article, opts for OA, and applies for institutional APC waiver
2. The Library receives notification from publisher
3. The Library checks that author is EUI affiliated and that the journal title is covered by the agreement and approves the waiver
4. Author chooses type of CC license
5. Author receives positive message from publisher
6. Article is published in Open Access

EUI TA APC waivers approved (2020- today October 2022)

Cambridge UP:	46	45%	(4 denied)
Springer:	30	29%	(2 denied)
Wiley:	26	25%	(2 cancelled) – <i>value: € 57,956.27</i>
Total:	102	100%	

All corresponding to approx. value of 255,000 € if APC paid (average 2500 €)

Very few denied as not EUI affiliated.

(In April 2022 there were 48 approved so big increase since then)

TAs: the current situation and forthcoming

- Cambridge University Press (CUP): Agreement valid **until December 2022. Uncertainty** about renewal in 2023
- Elsevier: Agreement valid until December 2022. Currently only 10% discount on APCs. A new Transformative Agreement is **under negotiation** by CRUI. It will start in the first quarter 2023, the duration is tbc to 3, 4, or 5 years, and it will fully cover the APCs of EUI members (still to adhere), more than 2000 titles (tbc)
- Springer: Agreement valid until 31 December 2024. In October 2022 reached the annual limit for APC waivers but through 'vouchers', APC waivers are currently guaranteed until **10 November 2022**
- Wiley: Agreement valid until 31 December 2023. In October 2022 the maximum article allowance was reached for 2022. The agreement is therefore **no longer covering OA publishing fees until the end of December 2022**. Starting from the **1st January 2023**, funds will resume for OA publishing fees to be covered by the contract.

Also recently negotiated by CRUI:

- Taylor & Francis: not accepted, 12 months embargo
- Oxford University Press (OUP): not accepted as TA, only subscription renewed

EUI fund for Article Processing Charges (APC)

- Installed in 2022
- Can cover EUI members' Article Processing Charges (APCs) and also Book Processing Charges (BPCs)
- The academic unit budgets shall participate with at least 50% for each APC, the remaining part the Library.
- Authors can apply where research output cannot be made available in Open Access through the repository road, an existing transformative agreement, or rely on funding from external grants.

How to apply

- The author of the article applies to the academic unit (department, programme, school or centre) for the APC to be covered.
- The application should contain:
 - Indication why the publication merits EUI Open Access funding, explaining the value of promoting the publication to an audience that may not have access to subscriptions with publishers.
 - The following details:
 - ✓ Publisher and Title of the Journal
 - ✓ Title of the Article and an Abstract and/or the submitted version of the article
 - ✓ Names of co-author(s) and their affiliation(s)
 - ✓ An estimate of the APC cost
 - Indication if there are possible Transformative agreements, or any other fund available for APCs
 - Indication if the EUI member has a double affiliation, in order to avoid that there is another funding possibility through existing Transformative Agreements by the second affiliation
- If funding is approved by the academic unit, the request is sent to the Library's Open Science office for a second assessment.

OS Office Criteria

- Articles associated with otherwise funded projects (i.e. papers that acknowledge other full funding for OA) cannot receive EUI APC funding.
- The applicant must be the corresponding author and indicate affiliation to the EUI in the publication.
- The applicant is a current EUI member, or the accepted article is based on research carried out at the EUI.
- The journal should not be predatory.
- The publication should be peer-reviewed.
- APC fees should not exceed a 'normal' fee (the average APC is approx. 2500 €). For 2022 a maximum refundable amount of an APC with the EUI-APCs fund is set to € 4000.
- No other funding possibility through existing Transformative Agreements by the second affiliation should be available.
- Only APC for OA publishing is fundable. No submission fees or production-related fees, or other editing related costs, are fundable.

Administrative Workflow

- When application for funding is approved, the academic unit requests a commitment to “Library Financial Management” specifying which of their funds to commit and the total APC amount
- The academic unit also confirms the order for publication with the publisher

How to best publish your work in open access

- Publish 'Green OA' where possible: archive/upload in Cadmus or subject repository
- Use the Library's Transformative Agreements' APC waiver where available: the Library has agreements with CUP, Wiley and Springer
- Consider using any other minor Library agreement with smaller publishers (eg. Cogitatio), as they also represent diversity (for example language and / or country of origin)
- EUI authors with external funding may consider to include APCs in the project budget, in some cases they must do so for example with European grants
- Funding for the APCs via the EUI APC fund should be applied for where there is no Transformative Agreement that can cover the APC

- EUI Open Science Office web page on Library Agreements with Publishers:
<https://www.eui.eu/Research/Library/PublishingAndOpenScience/OAPublishing-LibraryAgreements>
- EUI Open Science Office web page on Copyright and author rights:
<https://www.eui.eu/Research/Library/PublishingAndOpenScience/CopyrightAndOpenAccess>
- Sherpa-Romeo: <https://v2.sherpa.ac.uk/romeo/search.html>
- Directory of Open Access Journals (DOAJ): <https://doaj.org/> with mention of APC cost if any. EUI Library is funding member
- EUI OA Policy:
<https://www.eui.eu/Documents/Research/Library/PublishingAndOpenScience/EUIPolicyonOpenAccess.pdf> Adopted by the EUI Academic Council in 2017